

# Existence of solutions for a class of $p$ -biharmonic equations

## Abstract

By using Morse theory and critical point theory, we obtain the existence and multiplicity of solutions for a class of  $p$ -biharmonic equations with Navier boundary value conditions.

**Key words:**  $p$ -biharmonic;  $G$ -link Theorem; Navier boundary value conditions; noncrossing condition

## 1 Introduction and main results

We consider the following problem

$$\begin{cases} \Delta_p^2 u = f(x, u) & \text{in } \Omega, \\ u = \Delta u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1)$$

where  $\Omega$  is a bounded domain in  $\mathbb{R}^N$  ( $N \geq 2p + 1$ ) with smooth boundary  $\partial\Omega$ ,  $p > 1$ ,  $\Delta_p^2 u = \Delta(|\Delta u|^{p-2} \Delta u)$  denotes the  $p$ -biharmonic operator,  $f: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function and satisfies the following assumption:

( $I_1$ ) There exist  $C > 0$  and  $q \in (p, p_2^*)$  such that

$$|f(x, t)| \leq C(1 + |t|^{q-1})$$

for all  $(x, t) \in \Omega \times \mathbb{R}$ , where  $p_2^*$  is the critical Sobolev exponent defined as

$$p_2^* = \frac{Np}{N - 2p}.$$

Let  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  be the Sobolev space with the norm

$$\|u\| = \left( \int_{\Omega} |\Delta u|^p dx \right)^{\frac{1}{p}}.$$

According to the Sobolev embedding theorem (see [1]), for all  $1 \leq \gamma \leq p_2^*$ , the embedding  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) \hookrightarrow L^\gamma(\Omega)$  is continuous, if  $1 \leq \gamma < p_2^*$ , the embedding  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) \hookrightarrow L^\gamma(\Omega)$  is compact. So there exists a positive constant  $C_0$  such that

$$\|u\|_q \leq C_0 \|u\|, \quad (2)$$

where  $\|u\|_\gamma = \left(\int_\Omega |u|^\gamma dx\right)^{\frac{1}{\gamma}}$  is the usual norm in  $L^\gamma(\Omega)$ . In what follows, we first recall some concepts related to the eigenvalues of  $\Delta_p^2 u$  (see [21]). Consider the following manifold:

$$\Sigma = \left\{ u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) : \int_\Omega |u|^p dx = 1 \right\},$$

then  $\Sigma$  is a symmetric nonempty  $C^1$ - manifold in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . Let

$$\Sigma_k = \left\{ \Lambda \subset \Sigma : \text{there exists a continuous, odd and surjective } h : S^{k-1} \rightarrow \Lambda \right\},$$

where  $S^{k-1}$  denotes the unit sphere in  $\mathbb{R}^k$ . We say  $\lambda$  is an eigenvalue of the nonlinear eigenvalue problem if

$$\begin{cases} \Delta(|\Delta u|^{p-2} \Delta u) = \lambda |u|^{p-2} u & \text{in } \Omega, \\ u = \Delta u = 0 & \text{on } \partial\Omega, \end{cases} \quad (3)$$

has nontrivial solutions. By the Ljusternik-Schnirelman theory on  $C^1$ - manifold (see [25]), we know that  $\{\lambda_k\}_{k \in \mathbb{N}}$  is the eigenvalues sequence of (3), with the variational characterization:

$$\lambda_k = \inf_{\Lambda \in \Sigma_k} \sup_{u \in \Lambda} \int_\Omega |\Delta u|^p dx.$$

In the past few decades, the study of the nonlinear fourth order differential equations was an interesting topic which appear in various branches of mathematical physics (see [2, 4, 6, 7, 13, 14, 16, 27, 28] and references therein). Many authors have widely developed various methods and techniques, such as critical point theory, More theory to look for multiple solutions of elliptic equations involving  $p$ -biharmonic type operators (see [5, 9, 12, 15, 20, 21, 23, 26] and references therein). In [12], Drábek and Ôtani proved the first eigenvalue  $\lambda_1$  of (3) is simple, positive and isolated. Then, Liu and Squassina [21] divided the space  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  into two complementary subspaces, i.e.,  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) = V \oplus W$ , where  $V = \text{span}\{\phi_1\}$  be the eigenspace associated with  $\lambda_1$  and  $W$  be a subspace of  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  complementing  $V$ . By using Morse Theory and critical point theory, they studied the existence and multiplicity of nontrivial solutions for problem (1) and proved the following results.

**Theorem A.** [21]. Assume that  $(I_1)$  holds,  $F(x, t) = \int_0^t f(x, s) ds$  satisfies the following conditions:

$(I_2)$  There exist  $\delta > 0$  and  $\bar{\lambda} \in ]\lambda_1, \hat{\lambda}[$  such that

$$\lambda_1 |t|^p \leq pF(x, t) \leq \bar{\lambda} |t|^p$$

for  $x \in \Omega$  and  $|t| \leq \delta$ , where  $\hat{\lambda} > \lambda_1$  with  $\|u\|^p \geq \hat{\lambda} \|u\|_p^p$  for any  $u \in W$ .

$(I_3)$   $\lim_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} < \lambda_1$  uniformly for  $x \in \Omega$ .

Then problem (1) admits two nontrivial solutions in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .

**Theorem B.** [21]. Assume that  $(I_1)$  and  $(I_2)$  hold,  $F(x, t)$  satisfies the following conditions:

$(I_4)$   $\lim_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} = \lambda_1$  uniformly for  $x \in \Omega$ .

$(I_5)$   $\lim_{|t| \rightarrow \infty} (f(x, t)t - pF(x, t)) = +\infty$  uniformly for  $x \in \Omega$ .

Then problem (1) admits two nontrivial solutions in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .

Motivated by [21], in this paper, we prove the existence and multiplicity of solutions for problem (1) by using Morse theory (see [10, 22]) and some abstract critical point theorems (see [17, 24]). Moreover, we investigate the existence of weak solutions for problem (1) using the  $G$ -link Theorem (see [24]), where  $F(x, t)$  lies between two consecutive higher eigenvalues  $\lambda_k < \lambda_{k+1}$  ( $k \geq 1$ ) of (3). Our main results are the following theorems.

**Theorem 1.1.** *Assume that  $(I_1)$ ,  $(I_2)$  and  $(I_4)$  hold,  $F(x, t)$  satisfies the following condition:*

$$(I'_5) \quad \lim_{|t| \rightarrow \infty} (F(x, t) - \frac{1}{p} \lambda_1 |t|^p) = -\infty \quad \text{uniformly for } x \in \Omega.$$

Then problem (1) admits two nontrivial solutions in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .

**Remark 1.2.** *In fact, conditions  $(I_4)$  and  $(I_5)$  imply condition  $(I'_5)$  by direct calculation. Hence, Theorem 1.1 generalizes Theorem B.*

**Theorem 1.3.** *Assume that  $\lambda_k < \lambda_{k+1}$  ( $k \geq 1$ ) are two consecutive eigenvalues of (3),  $(I_1)$  and  $(I_5)$  hold,  $F(x, t)$  satisfies the following condition:*

$$(I_6) \quad \lambda_k < \liminf_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} \leq \limsup_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} \leq \lambda_{k+1} \quad \text{uniformly for } x \in \Omega.$$

Then problem (1) admits at least one weak solution in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .

**Theorem 1.4.** *Assume that  $\lambda_k < \lambda_{k+1}$  ( $k \geq 1$ ) are two consecutive eigenvalues of (3) and  $(I_1)$  holds,  $F(x, t)$  satisfies the following conditions:*

$$(I_7) \quad \lim_{|t| \rightarrow \infty} (f(x, t)t - pF(x, t)) = -\infty \quad \text{uniformly for } x \in \Omega.$$

$$(I_8) \quad \lambda_k \leq \liminf_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} \leq \limsup_{|t| \rightarrow \infty} \frac{pF(x, t)}{|t|^p} < \lambda_{k+1} \quad \text{uniformly for } x \in \Omega.$$

Then problem (1) admits at least one weak solution in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .

**Remark 1.5.** *We note that the nonquadratic type conditions such as  $(I_5)$  (or  $(I_7)$ ) and the asymptotic noncrossing conditions such as  $(I_6)$  (or  $(I_8)$ ) were originally introduced by Costa and Magalhães [11] for studying a class of semilinear elliptic equations. To the best of our knowledge, it is the first time that these assumptions are shown in  $p$ -biharmonic equations with Navier boundary value conditions.*

## 2 Proof of main results

Firstly, we define the energy functional  $\Phi$  associated to problem (1) as

$$\Phi(u) = \frac{1}{p} \int_{\Omega} |\Delta u|^p dx - \int_{\Omega} F(x, u) dx$$

for every  $u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . It is well known that  $\Phi$  is continuously differentiable and

$$\langle \Phi'(u), v \rangle = \int_{\Omega} |\Delta u|^{p-2} \Delta u \Delta v dx - \int_{\Omega} f(x, u) v dx$$

for every  $u, v \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . Therefore, the weak solutions of problem (1) correspond to the critical points of  $\Phi$  in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  (see [21, 26]).

Next, we recall some useful concepts about Morse Theory (see [10, 22]) and some abstract critical point theorems (see [17, 24]). Consider  $\Phi: X \rightarrow \mathbb{R}$ , a  $C^1$ -functional defined in a Banach space  $(X, \|\cdot\|)$ . Let  $\mathcal{K} = \{u \in X: \Phi'(u) = 0\}$  be the critical set of  $\Phi$ , and  $\Phi^c = \{u \in X: \Phi(u) \leq c\}$  denotes the sublevel sets of  $\Phi$ . The  $k$ -th critical group of  $\Phi$  at an isolated critical point  $u \in \mathcal{K}$  is described by

$$C_k(\Phi, u) = H_k(\Phi^c \cap \mathcal{U}, \Phi^c \cap \mathcal{U} \setminus \{u\}), \quad k \in \mathbb{Z},$$

where  $\mathcal{U}$  is a neighborhood of  $u$  containing no other critical points, and  $H_k(A, B)$  denotes the  $k$ -th singular relative homology group of the topological pair  $(A, B)$  with the coefficients in a field  $\mathbb{F}$ . For any  $a < \Phi(\mathcal{K})$ , the  $k$ -th critical group of  $\Phi$  at infinity is defined by

$$C_k(\Phi, \infty) = H_k(X, \Phi^a), \quad k \in \mathbb{Z}.$$

**Definition 2.1.** [18]. *Let  $X$  be a real Banach space such that  $X = V \oplus W$ , where  $V$  and  $W$  are closed subspaces of  $X$ . Let  $\Phi: X \rightarrow \mathbb{R}$  be a  $C^1$ -functional. We say that  $\Phi$  has a local linking near the origin  $0$  (with respect to the decomposition  $X = V \oplus W$ ), if there exists  $\rho > 0$  such that*

$$\begin{aligned} u \in V : \|u\| \leq \rho &\implies \Phi(u) \leq 0, \\ u \in W : 0 < \|u\| \leq \rho &\implies \Phi(u) > 0. \end{aligned}$$

**Theorem 2.2.** [19, Theorem 2.1]. *Let  $X$  be a real Banach space and let  $\Phi \in C^1(X, \mathbb{R})$  be bounded from below and satisfying the (PS) condition. Assume that  $\Phi$  has a critical point  $u$  which is homologically nontrivial, that is,  $C_k(\Phi, u) \not\cong \{0\}$  for some  $k$ , and it is not a minimizer for  $\Phi$ . Then  $\Phi$  admits at least three critical points.*

**Definition 2.3.** [24, Definition 2.1]. *Let  $Q$  be a submanifold of a Banach space  $X$  with relative boundary  $\partial Q$ ,  $S$  be a closed subset of a Banach space  $Y$  and  $G$  be a subset of  $C^0(\partial Q, Y \setminus S)$ . We say  $S$  and  $\partial Q$  are  $G$ -link if for any map  $h \in C^0(Q, Y \setminus S)$  such that  $h|_{\partial Q} \in G$  there holds  $h(Q) \cap S \neq \emptyset$ .*

**Theorem 2.4.** [24,  $G$ -link Theorem]. *Suppose that  $X, Y$  are Banach spaces. Consider a closed subset  $S \subset Y$  and a submanifold  $Q \subset X$  with relative boundary  $\partial Q$ ,  $G$  is a subset of  $C^0(\partial Q, Y \setminus S)$ . Set  $\Gamma = \{h \in C^0(Q, Y) : h|_{\partial Q} \in G\}$ . Suppose  $S$  and  $\partial Q$  are  $G$ -link and  $\Phi \in C^1(Y, \mathbb{R})$  satisfies*

(a) *There exists  $h_0 \in \Gamma$  and a positive constant  $r$  such that*

$$\sup_{x \in Q} \Phi(h_0(x)) < r;$$

(b) *There exist  $\beta > \alpha$  such that*

$$\inf_{y \in S} \Phi(y) \geq \beta \quad \text{and} \quad \sup_{x \in \partial Q} \Phi(h(x)) \leq \alpha, \quad \forall h \in \Gamma;$$

(c)  *$\Phi$  satisfies the (PS) condition. Then, the number*

$$c := \inf_{h \in \Gamma} \sup_{x \in Q} \Phi(h(x))$$

*defines a critical values  $c \geq \beta$  of  $\Phi$ .*

**Remark 2.5.** *If the (PS) condition is replaced by the weaker (C) condition (see [3]), the deformation lemma (see [22]) can be proved. Hence, it is still sufficient to ensure that the above abstract critical point theorems hold.*

**Lemma 2.6.** *Assume that  $(I_1)$  and  $(I'_5)$  hold, then  $\Phi$  is coercive on  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ , that is,  $\Phi(u) \rightarrow +\infty$  as  $\|u\| \rightarrow \infty$ .*

**Proof.** By contradiction, let  $\{u_n\} \subset W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  be such that

$$\begin{aligned} \|u_n\| &\rightarrow \infty \quad \text{as } n \rightarrow \infty, \quad \text{and} \\ \Phi(u_n) &\leq M_1, \end{aligned} \tag{4}$$

for some  $M_1 \in \mathbb{R}$ . Let  $v_n := \frac{u_n}{\|u_n\|}$ , then we can assume that there exists  $v \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ , up to a subsequence still denoted by  $\{v_n\}$ , such that

$$\begin{aligned} v_n &\rightharpoonup v \text{ weakly in } W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega), \\ v_n &\rightarrow v \text{ strongly in } L^\gamma(\Omega), \quad \gamma \in [1, p_2^*]. \end{aligned} \tag{5}$$

From (4), it follows that there is  $M_2 > 0$  such that

$$\begin{aligned} \frac{M_1}{\|u_n\|^p} &\geq \frac{\Phi(u_n)}{\|u_n\|^p} \\ &= \frac{1}{p} \int_{\Omega} |\Delta v_n|^p dx - \frac{1}{\|u_n\|^p} \int_{\Omega} F(x, u_n) dx \\ &= \frac{1}{p} (\|v_n\|^p - \lambda_1 \|v_n\|_p^p) - \frac{1}{\|u_n\|^p} \int_{\Omega} \left( F(x, u_n) - \frac{\lambda_1}{p} |u_n|^p \right) dx \\ &\geq \frac{1}{p} (\|v_n\|^p - \lambda_1 \|v_n\|_p^p) - \frac{M_2}{\|u_n\|^p}. \end{aligned} \tag{6}$$

Therefore, the combination of (4), (5) and (6) implies that

$$\limsup_{n \rightarrow \infty} \|v_n\|^p \leq \lambda_1 \|v\|_p^p. \tag{7}$$

From the lower semi-continuity of the norm and the Poincaré inequality, it follows that

$$\begin{aligned} \lambda_1 \|v\|_p^p &\leq \|v\|^p \leq \liminf_{n \rightarrow \infty} \|v_n\|^p \\ &\leq \limsup_{n \rightarrow \infty} \|v_n\|^p. \end{aligned} \tag{8}$$

Combining (7) and (8), we have  $\|v\|^p = \lambda_1 \|v\|_p^p$  and  $v_n \rightarrow v$  in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  with  $\|v\| = 1$ . Thus, we have  $v = \pm \phi_1$ , and we take  $v = \phi_1$ . It follows from the definition of  $v_n$  and  $(I'_5)$  that

$$u_n(x) \rightarrow +\infty \quad \text{uniformly for } x \in \Omega,$$

which implies that

$$M_1 \geq - \int_{\Omega} \left( F(x, u_n) - \frac{\lambda_1}{p} |u_n|^p \right) dx \rightarrow +\infty \quad \text{as } n \rightarrow \infty,$$

a contradiction with (4). So  $\Phi$  is coercive on  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . The proof of Lemma 2.6 is completed.  $\square$

**Lemma 2.7.** *Suppose that  $(I_1)$ ,  $(I_5)$  and  $(I_6)$  (or  $(I_7)$  and  $(I_8)$ ) hold, then the functional  $\Phi$  satisfies the (C) condition in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .*

**Proof.** Here, we only prove this lemma under conditions  $(I_5)$  and  $(I_6)$ , because the proof under conditions  $(I_7)$  and  $(I_8)$  is similar.

Firstly, we prove that  $\Phi$  satisfies the (C) condition, that is, for any  $c \in \mathbb{R}$  and every sequence  $\{u_n\} \subset W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  such that

$$\Phi(u_n) \rightarrow c \text{ and } \|\Phi'(u_n)\|(1 + \|u_n\|) \rightarrow 0 \quad \text{as } n \rightarrow \infty \quad (9)$$

has a convergent subsequence. If  $\{u_n\}$  is unbounded, without loss of generality, we can suppose that  $\|u_n\| \rightarrow \infty$  as  $n \rightarrow \infty$ . It follows from (9) that there exists a constant  $M_3$  such that

$$\begin{aligned} M_3 &\geq \liminf_{n \rightarrow \infty} (p\Phi(u_n) - \langle \Phi'(u_n), u_n \rangle) \\ &= \liminf_{n \rightarrow \infty} \int_{\Omega} (f(x, u_n)u_n - pF(x, u_n))dx. \end{aligned} \quad (10)$$

For any  $\varepsilon_1 > 0$ , from  $(I_6)$ , there is a positive constant  $M_4 = M_4(\varepsilon_1)$  such that

$$|F(x, t)| \leq \frac{\lambda_{k+1} + \varepsilon_1}{p} |t|^p + M_4 \quad (11)$$

for all  $(x, t) \in \Omega \times \mathbb{R}$ . Combining (9) and (11), for  $n$  large enough, we have

$$\begin{aligned} c + 1 &\geq \Phi(u_n) \\ &= \frac{1}{p} \int_{\Omega} |\Delta u_n|^p dx - \int_{\Omega} F(x, u_n) dx \\ &\geq \frac{1}{p} \int_{\Omega} |\Delta u_n|^p dx - \left( \frac{\lambda_{k+1} + \varepsilon_1}{p} \right) \int_{\Omega} |u_n|^p dx - M_4 |\Omega| \\ &\geq \frac{1}{p} \|u_n\|^p - \left( \frac{\lambda_{k+1} + \varepsilon_1}{p} \right) \|u_n\|_p^p - M_4 |\Omega|, \end{aligned} \quad (12)$$

where  $|\Omega|$  denotes the Lebesgue measure of  $\Omega$ . Now, define  $z_n := \frac{u_n}{\|u_n\|}$ , then we can also assume, up to a subsequence, that there exists  $z \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  such that  $z_n \rightharpoonup z$  weakly in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ ,  $z_n \rightarrow z$  strongly in  $L^\gamma(\Omega)$ ,  $\gamma \in [1, p_2^*)$ . Particularly, dividing by  $\|u_n\|^p$  and letting  $n \rightarrow \infty$  in (12), we obtain

$$1 \leq (\lambda_{k+1} + \varepsilon_1) \|z_n\|_p^p,$$

which implies that there exists  $\tilde{\Omega} \subset \Omega$  with  $meas(\tilde{\Omega}) > 0$  satisfying  $z(x) \neq 0$  for  $x \in \tilde{\Omega}$ . Therefore, for  $x \in \tilde{\Omega}$ , we have

$$|u(x)| \rightarrow \infty \quad \text{as } n \rightarrow \infty.$$

In addition, by Fatou's lemma and  $(I_5)$ , it follows that there is a constant  $M_5$  such that

$$\begin{aligned} \liminf_{n \rightarrow \infty} \int_{\Omega} (f(x, u_n)u_n - pF(x, u_n))dx &= \liminf_{n \rightarrow \infty} \int_{\tilde{\Omega}} (f(x, u_n)u_n - pF(x, u_n))dx \\ &\quad + \liminf_{n \rightarrow \infty} \int_{\Omega \setminus \tilde{\Omega}} (f(x, u_n)u_n - pF(x, u_n))dx \\ &\geq \int_{\tilde{\Omega}} \liminf_{n \rightarrow \infty} (f(x, u_n)u_n - pF(x, u_n))dx \\ &\quad + M_5 |\Omega \setminus \tilde{\Omega}| \\ &\rightarrow +\infty, \end{aligned}$$

we obtain a contradiction with (11). Hence, we conclude that  $\{u_n\}$  is bounded in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ , i.e., there exists a constant  $M_6 > 0$  such that

$$\|u_n\| \leq M_6 \tag{13}$$

for all  $n \in \mathbb{N}$ . Therefore, there is a  $u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  such that

$$\begin{aligned} u_n &\rightharpoonup u \text{ weakly in } W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega), \\ u_n &\rightarrow u \text{ strongly in } L^\gamma(\Omega), \quad \gamma \in [1, p_2^*]. \end{aligned} \tag{14}$$

It follows from  $(I_1)$ , Hölder's inequality and (2) that

$$\begin{aligned} \left| \int_{\Omega} f(x, u_n)(u_n - u) dx \right| &\leq \int_{\Omega} |f(x, u_n)| |u_n - u| dx \\ &\leq C \int_{\Omega} (1 + |u_n|^{q-1}) |u_n - u| dx \\ &\leq C \left( \int_{\Omega} |u_n|^{(q-1)\frac{q}{q-1}} dx \right)^{\frac{q-1}{q}} \left( \int_{\Omega} |u_n - u|^q dx \right)^{\frac{1}{q}} \\ &\quad + C \|u_n - u\|_1 \\ &= C \|u_n\|_q^{q-1} \|u_n - u\|_q + C \|u_n - u\|_1 \\ &\leq C C_0^{q-1} \|u_n\|^{q-1} \|u_n - u\|_q + C \|u_n - u\|_1. \end{aligned}$$

By (13) and (14), we have

$$\lim_{n \rightarrow \infty} \left| \int_{\Omega} f(x, u_n)(u_n - u) dx \right| = 0. \tag{15}$$

In addition, from (9), it holds that

$$\begin{aligned} \left| \langle \Phi'(u_n), u_n - u \rangle \right| &\leq \|\Phi'(u_n)\| \|u_n - u\| \\ &\leq \|\Phi'(u_n)\| (M_6 + \|u\|) \\ &\rightarrow 0 \end{aligned} \tag{16}$$

as  $n \rightarrow \infty$ . By (15) and (16), one has

$$\langle \Phi'(u_n), u_n - u \rangle - \int_{\Omega} f(x, u_n)(u_n - u) dx = \int_{\Omega} |\Delta u_n|^{p-2} \Delta u_n \Delta(u_n - u) dx \rightarrow 0 \tag{17}$$

as  $n \rightarrow \infty$ . From (15), it follows that

$$\int_{\Omega} |\Delta u|^{p-2} \Delta u \Delta(u_n - u) dx \rightarrow 0 \tag{18}$$

as  $n \rightarrow \infty$ . Combining (17) and (18), we obtain that

$$\int_{\Omega} \left( |\Delta u_n|^{p-2} \Delta u_n - |\Delta u|^{p-2} \Delta u \right) \Delta(u_n - u) dx \rightarrow 0$$

as  $n \rightarrow \infty$ . Based on Clarkson's inequality [8], that is, there exists a positive constant  $L_p$  such that

$$|x - y|^p \leq \begin{cases} L_p(|x|^{p-2}x - |y|^{p-2}y)(x - y), & p \geq 2, \\ L_p((|x|^{p-2}x - |y|^{p-2}y)(x - y))^{\frac{p}{2}}(|x| + |y|)^{\frac{(2-p)p}{2}}, & 1 < p < 2, \end{cases}$$

for all  $x, y \in \mathbb{R}$ . So, we have

$$\int_{\Omega} |\Delta u_n - \Delta u|^p dx \rightarrow 0$$

as  $n \rightarrow \infty$ , which implies that  $u_n \rightarrow u$  strongly in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  and the proof is completed.  $\square$

**Lemma 2.8.** *Assume that  $F$  satisfies assumptions  $(I_1)$ ,  $(I_5)$  and  $(I_6)$ , then the functional  $\Phi$  satisfies the conditions (a) and (b) in Theorem 2.4.*

**Proof.** Define a symmetric closed subset of  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  by

$$\mathcal{C}_{k+1} = \left\{ u \in W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) : \int_{\Omega} |\Delta u|^p dx \geq \lambda_{k+1} \int_{\Omega} |u|^p dx \right\}.$$

For any  $\varepsilon_2 > 0$ , it follows from  $(I_1)$  and  $(I_6)$  that there is a positive constant  $M_7 = M_7(\varepsilon_2)$  such that

$$F(x, t) \geq \frac{\lambda_k + 2\varepsilon_2}{p} |t|^p - M_7 \tag{19}$$

for all  $(x, t) \in \Omega \times \mathbb{R}$ . By the definition of  $\lambda_k$ , there is  $\Lambda \in \Sigma_k$  such that

$$\sup_{u \in \Lambda} \int_{\Omega} |\Delta u|^p dx \leq \lambda_k + \varepsilon_2. \tag{20}$$

For any  $u \in \Lambda$  and  $s > 0$ , from (19) and (20), it holds that

$$\begin{aligned} \Phi(su) &\leq \frac{1}{p} \|su\|^p - \frac{\lambda_k + 2\varepsilon_2}{p} \|su\|_p^p + M_7 |\Omega| \\ &\leq -\frac{\varepsilon_2}{p} s^p + M_7 |\Omega|. \end{aligned} \tag{21}$$

Moreover, let  $H(x, t) = F(x, t) - \frac{\lambda_{k+1}}{p} |t|^p$ , we have

$$H'(x, t)t - pH(x, t) = f(x, t)t - pF(x, t).$$

It follows from  $(I_5)$  that for any  $L > 0$ , there exists a constant  $M_8 = M_8(L) > 0$  such that

$$H'(x, t)t - pH(x, t) \geq L \tag{22}$$

for  $x \in \Omega$  and  $|t| > M_8$ . Hence, as  $t > M_8$ , from (22), we have

$$\frac{d}{dt} \left( \frac{H(x, t)}{t^p} \right) = \frac{H'(x, t)t - pH(x, t)}{t^{p+1}} \geq \frac{L}{t^{p+1}} = \frac{d}{dt} \left( -\frac{L}{pt^p} \right). \tag{23}$$

Integrating (23) over the interval  $[t, s] \subset [M_8, \infty)$ , we obtain

$$\frac{H(x, t)}{t^p} \leq \frac{H(x, s)}{s^p} + \frac{L}{p} \left( \frac{1}{s^p} - \frac{1}{t^p} \right). \tag{24}$$

By  $(I_6)$ , we have

$$\limsup_{s \rightarrow +\infty} \frac{H(x, s)}{s^p} \leq 0.$$

Letting  $s \rightarrow +\infty$  in (24), we get

$$H(x, t) \leq -\frac{L}{p}$$

for  $x \in \Omega$  and  $t \geq M_8$ . Similarly, we have  $H(x, t) \leq -\frac{L}{p}$  for  $x \in \Omega$  and  $t \leq -M_8$ . So, by the arbitrariness of  $L$ , we deduce that

$$\lim_{|t| \rightarrow \infty} H(x, t) = -\infty \quad \text{uniformly for } x \in \Omega. \quad (25)$$

Hence, it follows from  $(I_1)$  that there exists  $M_9 > 0$  such that

$$H(x, t) < M_9 \quad (26)$$

for all  $(x, t) \in \Omega \times \mathbb{R}$ . Therefore, for any  $u \in \mathcal{C}_{k+1}$ , by (26), one has

$$\begin{aligned} \Phi(u) &= \frac{1}{p} \|u\|^p - \int_{\Omega} F(x, u) dx \\ &= \frac{1}{p} (\|u\|^p - \lambda_{k+1} \|u\|_p^p) - \int_{\Omega} H(x, u) dx \\ &\geq - \int_{\Omega} H(x, u) dx \\ &\geq -M_9 |\Omega|. \end{aligned} \quad (27)$$

Therefore, for fixed  $\Lambda \in \Sigma_k$ , the combination of (21) and (27) implies that there exists  $R > 0$  such that

$$\alpha := \max_{u \in \Lambda, s \geq R} \Phi(su) < -M_9 |\Omega| =: \beta. \quad (28)$$

Moreover, we note  $R\Lambda = \{su : u \in \Lambda, s \geq R\}$  and  $G = \{h \in C(S^{k-1}, W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)) : h \text{ is odd and } h(S^{k-1}) \subset R\Lambda\}$ , where  $S^{k-1} = \partial B^k$  denotes the boundary of the closed unit ball  $B^k$  in  $\mathbb{R}^k$ . From (28), it follows that for any  $h \in G$ , we obtain

$$h(S^{k-1}) \cap \mathcal{C}_{k+1} = \emptyset,$$

which implies that  $G \subset C(S^{k-1}, W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)) \setminus \mathcal{C}_{k+1}$ .

Now, we claim that let

$$\Gamma = \{h \in C(B^k, W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)) : h|_{S^{k-1}} \in G\},$$

then  $\Gamma$  is nonempty, and

$$h(B^k) \cap \mathcal{C}_{k+1} \neq \emptyset$$

for all  $h \in \Gamma$ . If this claim is true, it means that  $\mathcal{C}_{k+1}$  and  $S^{k-1}$  are  $G$ -link. On the other hand, from (28) and the compactness of  $B^k$ , it follows that (a) and (b) of the Theorem 2.4 is satisfied.

According to the definition of  $\Sigma_k$ , there exists a continuous odd surjection  $h: S^{k-1} \rightarrow \Lambda$ . Now, defining  $T: B^k \rightarrow W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  as

$$T(su) = sRh(u) \quad \text{for all } (u, s) \in (S^{k-1} \times [0, 1]).$$

Therefore,  $T \in \Gamma$ , which implies that  $\Gamma$  is nonempty. Moreover, taking  $h \in \Gamma$ , if there is a  $u \in h(B^k)$  with  $\|u\|_p = 0$ , we have  $h(B^k) \cap \mathcal{C}_{k+1} \neq \emptyset$ . Otherwise we define  $\eta: S^k \rightarrow \Sigma$  as

$$\eta(x_1, x_2, \dots, x_{k+1}) = \begin{cases} \pi \circ h(x_1, x_2, \dots, x_k) & \text{if } x_{k+1} \geq 0, \\ -\pi \circ h(-x_1, -x_2, \dots, -x_k) & \text{if } x_{k+1} < 0, \end{cases}$$

where  $\pi(u) = \frac{u}{\|u\|_p}$ . Using the definition of  $\eta$ , it is easy to see that  $\eta(S^k) \in \Sigma_{k+1}$ . Thus, there is a  $u_0 \in \eta(S^k)$  such that  $\|u_0\|_p \geq \lambda_{k+1}$ , that is,  $u_0 \in \mathcal{C}_{k+1}$ . We can conclude that  $\pi \circ h(x) \in \mathcal{C}_{k+1}$ , which means that  $h(x) \in \mathcal{C}_{k+1}$ , i.e.,  $h(B^k) \cap \mathcal{C}_{k+1} \neq \emptyset$ . Now the proof of Lemma 2.8 is completed.  $\square$

**Proof of Theorem 1.1.** By Lemma 2.6, it is clear that  $\Phi$  is coercive on  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$  and satisfies (PS) condition. As Lemma 2.2 in [21], we can get that  $\Phi$  has a local linking near the origin 0 (with respect to the decomposition  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega) = V \oplus W$ ) under  $(I_1)$  and  $(I_3)$ . By Theorem 2.1 in [17], we get that 0 is a critical point of  $\Phi$  and  $C_1(\Phi, 0) \not\cong \{0\}$ . Associating Theorem 2.2, it is sufficient to prove that the functional  $\Phi$  has two nontrivial critical points in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .  $\square$

**Proof of Theorem 1.3.** By Lemma 2.7, it is clear that  $\Phi$  satisfies (C) condition in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . Associating Lemma 2.8 and Theorem 2.4, then functional  $\Phi$  has a critical point in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .  $\square$

**Proof of Theorem 1.4.** By Lemma 2.7, it is clear that  $\Phi$  satisfies (C) condition in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ . Using a method similar to that in Lemma 2.8, we can obtain the same result under conditions  $(I_7)$  and  $(I_8)$ . Therefore,  $\Phi$  satisfies all assumptions in Theorem 2.4. Then  $\Phi$  has a critical point in  $W^{2,p}(\Omega) \cap W_0^{1,p}(\Omega)$ .  $\square$

## References

- [1] R. A. Adams, J. J. F. Fournier, Sobolev Spaces, Academic Press, New York, 2003.
- [2] G. A. Afrouzi, S. Heidarkhani, D. O'Regan, Existence of three solutions for a doubly eigenvalue fourth-order boundary value problem, Taiwanese J. Math. 15 (2011) 201–210.
- [3] P. Bartolo, V. Benci, D. Fortunato, Abstract critical point theorems and applications to some nonlinear problems with strong resonance at infinity, Nonlinear Anal. 7 (1983) 981–1012.
- [4] F. Bernis, J. G. Azorero, I. Peral, Existence and multiplicity of nontrivial solutions in semilinear critical problems of fourth order, Adv. Differ. Equ. 1 (1996) 219–240.
- [5] G. M. Bisci, D. Repovš, Multiple solutions of  $p$ -biharmonic equations with Navier boundary conditions. Complex Var. elliptic Equ. 59 (2014) 271–284.
- [6] G. Bonanno, B. Di Bella, Infinitely many solutions for a fourth-order elastic beam equation, Nonlinear Differ. Equ. Appl. NoDEA 18 (2011) 357–368.
- [7] J. F. Bonder, J. D. Rossi, A fourth elliptic equation with nonlinear boundary conditions, Nonlinear Anal. 49 (2002) 1037–1047.

- [8] H. Brezis, Functional analysis, Sobolev spaces and partial differential equations, Springer Science & Business Media, 2010.
- [9] P. Candito, G. Molica Bisci, Multiple solutions for a Navier boundary value problem involving the  $p$ -biharmonic, *Discrete Contin. Dyn. Syst. Ser. S* 5 (2012) 741–751.
- [10] K. C. Chang, Infinite dimensional Morse theory and multiple solution problems, Birkhauser Boston, Inc., Boston, MA, 1993.
- [11] D. G. Costa, C. A. Magalhães, Variational elliptic problems which are nonquadratic at infinity, *Nonlinear Anal.* 23 (1994) 1401–1412.
- [12] P. Drábek, S. B. Ôtani, Global bifurcation result for the  $p$ -biharmonic operator, *Electron. J. Differ. Equ.* 48 (2001) 1–19.
- [13] S. Heidari, A. Razani, Existence and multiplicity of weak solutions for singular fourth-order elliptic systems. *São Paulo J. Math. Sci.* 16 (2022) 1309–1326.
- [14] S. Heidarkhani, Three solutions for a class of  $(p_1, \dots, p_n)$ -biharmonic systems via variational methods, *Thai J. Math.* 10 (2012) 497–515.
- [15] C. Li, C. L. Tang, Three solutions for a Navier boundary value problem involving the  $p$ -biharmonic, *Nonlinear Anal.* 72 (2010) 1339–1347.
- [16] L. Li, C. L. Tang, Existence and multiplicity of solutions for a class of  $p(x)$ -Biharmonic equations, *Acta Math. Sci.* 33 (2013) 155–170.
- [17] J. Q. Liu, The Morse index of a saddle point, *J. Systems Sci. Math. Sci.* 2 (1989) 32–39.
- [18] J. Q. Liu, S. J. Li, An existence theorem for multiple critical points and its application, *Kexue Tongbao* 17 (1984) 1025–1027.
- [19] J. Q. Liu, J. B. Su, Remarks on multiple nontrivial solutions for quasi-linear resonant problems, *J. Math. Anal. Appl.* 258 (2001) 209–222.
- [20] S. B. Liu, E. Medeiros, K. Perera, Multiplicity results for  $p$ -biharmonic problems via Morse theory, *Commun. Appl. Anal.* 13 (2009) 447–455.
- [21] S. B. Liu, M. Squassina, On the existence of solutions to a fourth-order quasilinear resonant problem, *Abstr. Appl. Anal.* 7 (2002) 125–133.
- [22] K. Perera, R. P. Agarwal, D. O’Regan, Morse theoretic aspects of  $p$ -Laplacian type operators, American Mathematical Society, Providence, RI, 2010.
- [23] M. Talbi, N. Tsouli, On the spectrum of the weighted  $p$ -biharmonic operator with weight, *Mediterr. J. Math.* 4 (2007) 73–86.
- [24] S. Z. Song, C. L. Tang, Resonance problems for the  $p$ -Laplacian with a nonlinear boundary condition, *Nonlinear Anal.* 64 (2006) 2007–2021.
- [25] A. Szulkin, Ljusternik-Schnirelmann theory on  $C^1$ -manifolds, *Ann. Inst. H. Poincaré Anal. Non Linéaire* 5 (1988) 119–139.
- [26] W. H. Wang, P. H. Zhao, Nonuniformly nonlinear elliptic equations of  $p$ -biharmonic type, *J. Math. Anal. Appl.* 348 (2008) 730–738.
- [27] H. Z. Xie, J. P. Wang, Infinitely many solutions for  $p$ -harmonic equation with singular term, *J. Inequal. Appl.* 9 (2013) 1–13.
- [28] H. H. Yin, Z. D. Yang, Three solutions for a Navier boundary value system involving the  $(p(x), q(x))$ -biharmonic operator, *J. Adv. Math. Stud.* 3 (2013) 281–290.